

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Cash Account (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
4/4/2014	4/1/2014	Sell	2000.00	NEVIS CAPITAL CORP	1.5500	--	2966.47	240439.27
4/7/2014	4/2/2014	Sell	200000.00	SCRIPSAmerica INC	0.1170	--	22548.27	262987.54
4/7/2014	4/2/2014	Sell	2000.00	NEVIS CAPITAL CORP	1.6500	--	3160.43	266147.97
4/7/2014	4/2/2014	Sell	5000.00	GENSPERA	1.2600	--	6069.56	272217.53
4/7/2014	4/2/2014	Sell	5330.00	GENSPERA	1.3000	--	6679.55	278897.08
4/8/2014	4/3/2014	Sell	525.00	NEVIS CAPITAL CORP	2.1700	--	1024.41	279921.49
4/8/2014	4/3/2014	Sell	35000.00	DIRECT EQUITY INTERNATIONAL	0.0820	--	2739.49	282660.98
4/8/2014	4/3/2014	Sell	45000.00	DIRECT EQUITY INTERNATIONAL	0.0830	--	3565.84	286226.82
4/8/2014	4/3/2014	Sell	50000.00	DIRECT EQUITY INTERNATIONAL	0.0840	--	4003.32	290230.14
4/8/2014	4/3/2014	Sell	65000.00	DIRECT EQUITY INTERNATIONAL	0.0850	--	5312.59	295542.73
4/8/2014	4/3/2014	Sell	75000.00	DIRECT EQUITY INTERNATIONAL	0.0840	--	6043.84	301586.57
4/8/2014	4/3/2014	Sell	75000.00	DIRECT EQUITY INTERNATIONAL	0.0840	--	6049.66	307636.23
4/8/2014	4/3/2014	Sell	85000.00	DIRECT EQUITY INTERNATIONAL	0.0770	--	6301.81	313938.04
4/8/2014	4/3/2014	Sell	80000.00	DIRECT EQUITY INTERNATIONAL	0.0830	--	6411.80	320349.84
4/8/2014	4/3/2014	Sell	85000.00	DIRECT EQUITY INTERNATIONAL	0.0820	--	6733.78	327083.62
4/8/2014	4/3/2014	Sell	85000.00	DIRECT EQUITY INTERNATIONAL	0.0830	--	6815.40	333899.02
4/8/2014	4/3/2014	Sell	90000.00	DIRECT EQUITY INTERNATIONAL	0.0790	--	6849.77	340748.79
4/8/2014	4/3/2014	Sell	90000.00	DIRECT EQUITY INTERNATIONAL	0.0830	--	7218.99	347967.78
4/8/2014	4/3/2014	Sell	95000.00	DIRECT EQUITY INTERNATIONAL	0.0840	--	7665.88	355633.66
4/8/2014	4/3/2014	Sell	100000.00	DIRECT EQUITY INTERNATIONAL	0.0830	--	8037.81	363671.47
4/8/2014	4/3/2014	Sell	100000.00	DIRECT EQUITY INTERNATIONAL	0.0840	--	8052.36	371723.83
4/9/2014	4/4/2014	Sell	5000.00	RICH PHARMACEUTICALS, INC	0.2000	--	884.62	372608.45
4/9/2014	4/4/2014	Sell	100000.00	RICH PHARMACEUTICALS, INC	0.1990	--	19253.87	391862.32
4/9/2014	4/4/2014	Sell	100000.00	RICH PHARMACEUTICALS, INC	0.1990	--	19253.87	411116.19
4/9/2014	4/4/2014	Sell	2000.00	NEVIS CAPITAL CORP	1.3300	--	2539.75	413655.94
4/9/2014	4/4/2014	Sell	2000.00	NEVIS CAPITAL CORP	1.3300	--	2539.75	416195.69
4/9/2014	4/4/2014	Sell	2000.00	NEVIS CAPITAL CORP	1.4200	--	2714.31	418910.00
4/9/2014	4/4/2014	Sell	10000.00	GENSPERA	1.2700	--	12275.86	431185.86
4/9/2014	4/4/2014	Sell	80000.00	DIRECT EQUITY INTERNATIONAL	0.0640	--	4919.81	436105.67
4/9/2014	--	Cash Disbursement	--	WIRE	--	160000.00	--	276105.67
4/9/2014	--	Journal	--	Wire Fee	--	50.00	--	276055.67
4/9/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	276030.67
4/9/2014	--	Journal	--	Dreyfus Sweep	--	--	160075.00	436105.67
4/10/2014	4/7/2014	Sell	2500.00	RICH PHARMACEUTICALS, INC	0.2950	--	622.43	436728.10
4/10/2014	4/7/2014	Sell	50000.00	RICH PHARMACEUTICALS, INC	0.2600	--	12562.06	449290.16
4/10/2014	4/7/2014	Sell	47700.00	RICH PHARMACEUTICALS, INC	0.3000	--	13832.80	463122.96
4/10/2014	4/7/2014	Sell	50000.00	RICH PHARMACEUTICALS, INC	0.3220	--	15568.52	478691.48
4/10/2014	4/7/2014	Sell	50000.00	RICH PHARMACEUTICALS, INC	0.3260	--	15747.94	494439.42
4/10/2014	4/7/2014	Sell	100000.00	RICH PHARMACEUTICALS, INC	0.3130	--	30309.90	524749.32

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Cash Account (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
4/10/2014	4/7/2014	Sell	600.00	NEVIS CAPITAL CORP	1.0000	--	485.16	525234.48
4/10/2014	4/7/2014	Sell	1000.00	NEVIS CAPITAL CORP	1.1500	--	1035.10	526269.58
4/10/2014	--	Journal	--	TA & DTC FEE BORK NON DTC	--	105.00	--	526164.58
4/11/2014	4/8/2014	Sell	2500.00	RICH PHARMACEUTICALS, INC	0.3120	--	664.93	526829.51
4/11/2014	4/8/2014	Sell	50000.00	RICH PHARMACEUTICALS, INC	0.3300	--	15956.45	542785.96
4/11/2014	4/8/2014	Sell	50000.00	RICH PHARMACEUTICALS, INC	0.3300	--	15956.45	558742.41
4/11/2014	4/8/2014	Sell	100000.00	RICH PHARMACEUTICALS, INC	0.3100	--	30018.96	588761.37
4/11/2014	4/8/2014	Sell	101250.00	RICH PHARMACEUTICALS, INC	0.3300	--	32308.05	621069.42
4/11/2014	4/8/2014	Sell	2840.00	NEVIS CAPITAL CORP	0.5000	--	1304.87	622374.29
4/11/2014	4/8/2014	Sell	3150.00	NEVIS CAPITAL CORP	0.5000	--	1459.84	623834.13
4/11/2014	4/8/2014	Sell	4000.00	NEVIS CAPITAL CORP	0.5000	--	1884.72	625718.85
4/11/2014	4/8/2014	Sell	4510.00	NEVIS CAPITAL CORP	0.5000	--	2139.66	627858.51
4/11/2014	4/8/2014	Sell	5500.00	NEVIS CAPITAL CORP	0.5000	--	2626.62	630485.13
4/11/2014	4/8/2014	Sell	15000.00	NEVIS CAPITAL CORP	0.4950	--	7159.43	637644.56
4/11/2014	4/8/2014	Sell	20000.00	NEVIS CAPITAL CORP	0.5000	--	9656.14	647300.70
4/11/2014	4/8/2014	Sell	39540.00	AMAZONIC CORP	0.0720	--	2716.52	650017.22
4/11/2014	4/8/2014	Sell	60460.00	AMAZONIC CORP	0.0610	--	3531.08	653548.30
4/11/2014	4/8/2014	Sell	211368.00	AMAZONIC CORP	0.0540	--	10918.10	664466.40
4/14/2014	4/9/2014	Sell	77222.00	BOURQUE INDUSTRIES INC	0.0050	--	226.79	664693.19
4/15/2014	4/10/2014	Sell	2500.00	NEVIS CAPITAL CORP	0.4800	--	1084.92	665778.11
4/15/2014	4/10/2014	Sell	4000.00	DIVERSIFIED RESOURCES INC	0.9500	--	3645.11	669423.22
4/15/2014	4/21/2014	Sell - Cancel	4000.00	DIVERSIFIED RESOURCES INC	0.9500	3645.11	--	665778.11
4/16/2014	4/11/2014	Buy	3000.00	DIVERSIFIED RESOURCES INC	1.2500	3902.81	--	661875.30
4/16/2014	4/21/2014	Buy - Cancel	3000.00	DIVERSIFIED RESOURCES INC	1.2500	--	3902.81	665778.11
4/17/2014	4/14/2014	Sell	2170.00	NEVIS CAPITAL CORP	0.4900	--	948.26	666726.37
4/17/2014	4/14/2014	Sell	2500.00	NEVIS CAPITAL CORP	0.4400	--	984.92	667711.29
4/22/2014	4/16/2014	Buy	1000.00	DIVERSIFIED RESOURCES INC	1.3190	1433.75	--	666277.54
4/22/2014	4/21/2014	Buy - Cancel	1000.00	DIVERSIFIED RESOURCES INC	1.3190	--	1433.75	667711.29
4/22/2014	4/16/2014	Sell	4000.00	NEVIS CAPITAL CORP	0.2800	--	1004.74	668716.03
4/22/2014	4/16/2014	Sell	15000.00	NEVIS CAPITAL CORP	0.2200	--	3158.89	671874.92
4/22/2014	4/16/2014	Sell	20840.00	NEVIS CAPITAL CORP	0.2200	--	4404.23	676279.15
4/22/2014	4/16/2014	Sell	23460.00	NEVIS CAPITAL CORP	0.2200	--	4962.93	681242.08
4/22/2014	4/16/2014	Sell	52500.00	NEVIS CAPITAL CORP	0.2200	--	11155.81	692397.89
4/22/2014	4/16/2014	Sell	98200.00	NEVIS CAPITAL CORP	0.2200	--	20906.46	713304.35
4/22/2014	4/16/2014	Sell	150000.00	NEVIS CAPITAL CORP	0.2200	--	31883.62	745187.97
4/23/2014	4/17/2014	Sell	89500.00	SCRIPSAMERICA INC	0.1100	--	9502.25	754690.22
4/23/2014	4/17/2014	Sell	390000.00	SCRIPSAMERICA INC	0.1110	--	41554.02	796244.24
4/23/2014	4/17/2014	Sell	29240.00	NEVIS CAPITAL CORP	0.2170	--	6110.39	802354.63
4/25/2014	4/22/2014	Sell	100000.00	SCRIPSAMERICA INC	0.1130	--	10913.35	813267.98
4/25/2014	4/22/2014	Sell	10000.00	GENSPERA	1.2800	--	12372.85	825640.83

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Cash Account (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
4/28/2014	4/23/2014	Sell	134800.00	SCRIPSAMERICA INC	0.1150	--	14921.16	840561.99
4/28/2014	4/23/2014	Sell	5349.00	GENSPERA	1.2500	--	6444.12	847006.11
4/28/2014	4/23/2014	Sell	10000.00	GENSPERA	1.2550	--	12130.40	859136.51
4/29/2014	--	Journal	--	Dreyfus Sweep	--	859136.51	--	0.00
4/30/2014	--	Cash Disbursement	--	WIRE	--	142103.14	--	-142103.14
4/30/2014	--	Journal	--	ERROR ON DDRI SHORT SALE	--	1358.75	--	-143461.89
4/30/2014	--	Journal	--	Wire Fee	--	50.00	--	-143511.89
4/30/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-143536.89
4/30/2014	--	Journal	--	Dreyfus Sweep	--	--	143536.89	0.00
5/1/2014	4/28/2014	Sell	40800.00	BOURQUE INDUSTRIES INC	0.0050	--	84.38	84.38
5/5/2014	4/30/2014	Sell	28075.00	SCRIPSAMERICA INC	0.1000	--	2679.69	2764.07
5/6/2014	5/1/2014	Sell	50000.00	SCRIPSAMERICA INC	0.1000	--	4798.58	7562.65
5/6/2014	5/1/2014	Sell	50140.00	SCRIPSAMERICA INC	0.1030	--	4962.89	12525.54
5/6/2014	5/1/2014	Sell	100000.00	SCRIPSAMERICA INC	0.1030	--	9943.52	22489.06
5/6/2014	5/1/2014	Sell	100000.00	SCRIPSAMERICA INC	0.1040	--	10040.51	32509.57
5/6/2014	5/1/2014	Sell	7900.00	GENSPERA	1.2500	--	9536.36	42045.93
5/6/2014	--	Client to Client M	199800000.00	BIOFLAMEX CORPORATION	--	--	--	42045.93
5/6/2014	--	Journal	--	TA & DTC FEE BORK NON DTC	--	105.00	--	41940.93
5/7/2014	5/2/2014	Sell	5000.00	SCRIPSAMERICA INC	0.1030	--	399.63	42340.56
5/7/2014	5/2/2014	Sell	60175.00	SCRIPSAMERICA INC	0.0990	--	5731.88	48072.44
5/7/2014	--	Cash Disbursement	--	WIRE	--	427000.00	--	-378927.56
5/7/2014	--	Journal	--	PHYS FROM DTC FEE BOIGD	--	620.00	--	-379547.56
5/7/2014	--	Journal	--	Wire Fee	--	50.00	--	-379597.56
5/7/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-379622.56
5/7/2014	--	Journal	--	Dreyfus Sweep	--	--	379622.56	0.00
5/8/2014	--	Cash Disbursement	--	WIRE	--	175000.00	--	-175000.00
5/8/2014	--	Client to Client M	8405117.00	AMAZONAS FLORESTAL LTD	--	--	--	-175000.00
5/8/2014	--	Client to Client M	261000.00	AIM EXPLORATION INC.	--	--	--	-175000.00
5/8/2014	--	Journal	--	Wire Fee	--	50.00	--	-175050.00
5/8/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-175075.00
5/8/2014	--	Journal	--	Dreyfus Sweep	--	--	175075.00	0.00
5/9/2014	5/6/2014	Sell	1050.00	Dragon Jade International Ltd	2.0300	--	2016.58	2016.58
5/12/2014	--	Journal	--	ERROR ON DDRI SHORT SALE	--	1358.75	--	657.83
5/13/2014	--	Client to Client M	11610666.00	VOIP-PAL COM INC	--	--	--	657.83
5/13/2014	--	Journal	--	RUSH FEE 184360588 HMNC	--	250.00	--	407.83
5/13/2014	--	Journal	--	DTC IN FEE 184360588 HMNC	--	200.00	--	207.83
5/15/2014	5/12/2014	Sell	3000.00	GENSPERA	1.2300	--	3538.55	3746.38
5/15/2014	5/12/2014	Sell	3000.00	GENSPERA	1.2400	--	3567.64	7314.02

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Cash Account (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
5/15/2014	--	Journal	--	DTC 9,359,112 SHARES	--	200.00	--	7114.02
5/16/2014	--	Cash Disbursement	--	WIRE	--	26642.11	--	-19528.09
5/16/2014	--	Journal	--	Wire Fee	--	50.00	--	-19578.09
5/16/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-19603.09
5/16/2014	--	Journal	--	Dreyfus Sweep	--	--	19603.09	0.00
5/19/2014	--	Stock Receipt	9359112.00	HONDO MINERALS CORPORATION	--	--	--	0.00
5/19/2014	--	Stock Delivery	100.00	BUCKEYE OIL & GAS INC	--	--	--	0.00
5/19/2014	--	Journal	--	TA FEE PRGE	--	150.00	--	-150.00
5/20/2014	--	Sweep	--	Sweep to Cash	--	--	150.00	0.00
5/23/2014	--	Cash Disbursement	--	WIRE	--	40000.00	--	-40000.00
5/23/2014	--	Journal	--	Wire Fee	--	50.00	--	-40050.00
5/23/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-40075.00
5/23/2014	--	Journal	--	Dreyfus Sweep	--	--	40075.00	0.00
5/27/2014	--	Journal	--	ERROR ON DDRI SHORT SALE	--	--	1358.75	1358.75
5/30/2014	5/27/2014	Sell	5751.00	GENSPERA	1.0550	--	5843.81	7202.56
5/30/2014	--	Journal	--	Dreyfus Sweep	--	7202.56	--	0.00
5/30/2014	--	Journal	--	TA FEE DEQI	--	145.00	--	-145.00
6/2/2014	--	Sweep	--	Sweep to Cash	--	--	145.00	0.00
6/3/2014	--	Stock Delivery	4000000.00	DIRECT EQUITY INTERNATIONAL	--	--	--	0.00
6/5/2014	--	Cash Disbursement	--	WIRE	--	39000.00	--	-39000.00
6/5/2014	--	Journal	--	Wire Fee	--	50.00	--	-39050.00
6/5/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-39075.00
6/5/2014	--	Journal	--	Dreyfus Sweep	--	--	39075.00	0.00
6/6/2014	6/3/2014	Sell	4495.00	GENETIC TECHNOLOGIES LTD	1.0100	--	4424.56	4424.56
6/6/2014	6/4/2014	Sell - Cancel	4495.00	GENETIC TECHNOLOGIES LTD	1.0100	4424.56	--	0.00
6/6/2014	6/4/2014	Sell	4495.00	GENETIC TECHNOLOGIES LTD	1.0100	--	4424.56	4424.56
6/6/2014	--	Stock Delivery	10000000.00	DIRECT EQUITY INTERNATIONAL	--	--	--	4424.56
6/12/2014	--	Cash Disbursement	--	WIRE	--	80000.00	--	-75575.44
6/12/2014	--	Stock Delivery	8126723.00	PARADISE RIDGE HYDROCARBONS	--	--	--	-75575.44
6/12/2014	--	Journal	--	Fedex Fee	--	75.00	--	-75650.44
6/12/2014	--	Journal	--	Wire Fee	--	50.00	--	-75700.44
6/12/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-75725.44
6/12/2014	--	Journal	--	Dreyfus Sweep	--	--	84499.56	8774.12
6/13/2014	--	Cash Disbursement	--	WIRE	--	259120.74	--	-250346.62
6/13/2014	--	Journal	--	Wire Fee	--	50.00	--	-250396.62
6/13/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-250421.62
6/13/2014	--	Journal	--	Dreyfus Sweep	--	--	250421.62	0.00

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Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Cash Account (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
6/20/2014	6/17/2014	Sell	300000.00	HONDO MINERALS CORPORATION	0.0370	--	10423.91	10423.91
6/25/2014	--	Cash Disbursement	--	WIRE	--	357913.15	--	-347489.24
6/25/2014	--	Journal	--	Wire Fee	--	50.00	--	-347539.24
6/25/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-347564.24
6/25/2014	--	Journal	--	Dreyfus Sweep	--	--	357988.15	10423.91
6/27/2014	6/24/2014	Sell	250000.00	HONDO MINERALS CORPORATION	0.0240	--	5563.92	15987.83
6/30/2014	--	Journal	--	Dreyfus Sweep	--	15987.83	--	0.00
7/1/2014	6/26/2014	Sell	14938900.00	KENERGY SCIENTIFIC INC	--	--	2807.11	2807.11
7/11/2014	--	Cash Disbursement	--	WIRE	--	2632.11	--	175.00
7/11/2014	--	Client to Client M	3571234.00	DIRECT EQUITY INTERNATIONAL	--	--	--	175.00
7/11/2014	--	Journal	--	Wire Fee	--	50.00	--	125.00
7/11/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	100.00
7/17/2014	--	Journal	--	LOA FEE 3,571,234 SHARES	--	195.00	--	-95.00
7/18/2014	--	Sweep	--	Sweep to Cash	--	--	95.00	0.00
7/21/2014	7/16/2014	Sell	5000.00	GENSPERA	0.8900	--	4275.38	4275.38
7/22/2014	7/17/2014	Sell	5000.00	GENSPERA	0.8800	--	4226.89	8502.27
7/23/2014	7/18/2014	Sell	4000.00	GENSPERA	0.9000	--	3451.15	11953.42
7/24/2014	--	Cash Disbursement	--	WIRE	--	28128.02	--	-16174.60
7/24/2014	--	Journal	--	Wire Fee	--	50.00	--	-16224.60
7/24/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-16249.60
7/24/2014	--	Journal	--	Dreyfus Sweep	--	--	16249.60	0.00
7/28/2014	--	Journal	--	RESEARCH FEE - CANN	--	150.00	--	-150.00
7/28/2014	--	Journal	--	RESEARCH FEE - FRTD	--	150.00	--	-300.00
7/28/2014	--	Journal	--	RESEARCH FEE - VPLM	--	150.00	--	-450.00
7/28/2014	--	Journal	--	RESEARCH FEE - AMZZ	--	150.00	--	-600.00
7/28/2014	--	Journal	--	RESEARCH FEE - OCEE	--	150.00	--	-750.00
7/28/2014	--	Journal	--	RESEARCH FEE - OCEE	--	150.00	--	-900.00
7/29/2014	--	Sweep	--	Sweep to Cash	--	--	900.00	0.00
7/30/2014	7/25/2014	Sell	56500.00	HONDO MINERALS CORPORATION	0.0130	--	613.78	613.78
7/30/2014	7/25/2014	Sell	500000.00	HONDO MINERALS CORPORATION	0.0110	--	5062.63	5676.41
7/31/2014	--	Journal	--	Dreyfus Sweep	--	5676.41	--	0.00
8/6/2014	--	Journal	--	RUSH FROM DTC FEE GRWD	--	1240.00	--	-1240.00
8/6/2014	--	Journal	--	RUSH FROM DTC FEE REMO	--	1240.00	--	-2480.00
8/6/2014	--	Journal	--	RUSH PHYS FROM DTC ITEC	--	1240.00	--	-3720.00
8/6/2014	--	Journal	--	PHYS FROM DTC BSKY	--	620.00	--	-4340.00
8/6/2014	--	Journal	--	PHYS FROM DTC FEE PWEI	--	620.00	--	-4960.00
8/6/2014	--	Journal	--	PHYS FROM DTC FEE GRPR	--	620.00	--	-5580.00
8/6/2014	--	Journal	--	PHYS FROM DTC FEE NDDG	--	620.00	--	-6200.00

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Cash Account (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
8/6/2014	--	Journal	--	REV CERT FROM DTC FEE ITEC	--	--	620.00	-5580.00
8/6/2014	--	Journal	--	REV RUSH FROM DTC ITEC	--	--	620.00	-4960.00
8/7/2014	--	Sweep	--	Sweep to Cash	--	--	4960.00	0.00
8/11/2014	--	Cash Disbursement	--	WIRE	--	26721.22	--	-26721.22
8/11/2014	--	Journal	--	SCA Wire Fee	--	300.00	--	-27021.22
8/11/2014	--	Journal	--	Wire Fee	--	195.00	--	-27216.22
8/11/2014	--	Journal	--	Wire Fee	--	50.00	--	-27266.22
8/11/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-27291.22
8/11/2014	--	Journal	--	VOID WIRE FEE	--	--	195.00	-27096.22
8/11/2014	--	Journal	--	VOID SCA WIRE FEE	--	--	300.00	-26796.22
8/11/2014	--	Journal	--	Dreyfus Sweep	--	--	420.00	-26376.22
8/11/2014	--	Journal	--	Dreyfus Sweep	--	--	26796.22	420.00
8/12/2014	--	Client to Client M	1170000.00	LAKE VICTORIA MINING COMPANY	--	--	--	420.00
8/13/2014	--	Cash Disbursement	--	WIRE	--	2568.51	--	-2148.51
8/13/2014	--	Journal	--	Wire Fee	--	50.00	--	-2198.51
8/13/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-2223.51
8/13/2014	--	Journal	--	Dreyfus Sweep	--	--	2643.51	420.00
8/14/2014	--	Stock Delivery	3725000.00	RECURSOS MONTANA S.A.	--	--	--	420.00
8/14/2014	--	Stock Delivery	300000.00	GREENWIND NRG, INC	--	--	--	420.00
8/15/2014	--	Stock Delivery	13700000.00	PACWEST EQUITIES INC	--	--	--	420.00
8/15/2014	--	Stock Delivery	2000000.00	GRID PETROLEUM CORPORATION	--	--	--	420.00
8/19/2014	--	Stock Delivery	8000.00	BLUE SKY PETROLEUM INC	--	--	--	420.00
8/20/2014	--	Cash Disbursement	--	WIRE	--	1129.89	--	-709.89
8/20/2014	--	Stock Delivery	163000.00	NUDG MEDIA INC	--	--	--	-709.89
8/20/2014	--	Journal	--	PHYS FROM DTC PWEI	--	620.00	--	-1329.89
8/20/2014	--	Journal	--	Wire Fee	--	50.00	--	-1379.89
8/20/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-1404.89
8/20/2014	--	Journal	--	Dreyfus Sweep	--	--	1204.89	-200.00
8/21/2014	--	Sweep	--	Sweep to Cash	--	--	200.00	0.00
8/26/2014	--	Journal	--	LOA LVCA 1,170,000 SHARES	--	195.00	--	-195.00
8/27/2014	--	Sweep	--	Sweep to Cash	--	--	195.00	0.00
8/27/2014	--	Journal	--	RESEARCH FEE - VPLM	--	150.00	--	-150.00
8/28/2014	--	Sweep	--	Sweep to Cash	--	--	150.00	0.00
8/29/2014	--	Stock Delivery	123400000.00	PACWEST EQUITIES INC	--	--	--	0.00
9/5/2014	9/2/2014	Sell	3000.00	GENSPERA	0.7700	--	2194.83	2194.83
9/5/2014	--	Cash Disbursement	--	WIRE	--	292551.05	--	-290356.22
9/5/2014	--	Journal	--	Wire Fee	--	50.00	--	-290406.22

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Cash Account (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
9/5/2014	--	Journal	--	SCA Wire Fee	--	25.00	--	-290431.22
9/5/2014	--	Journal	--	Dreyfus Sweep	--	--	292626.05	2194.83
9/9/2014	9/4/2014	Sell	500000.00	HONDO MINERALS CORPORATION	0.0100	--	4871.66	7066.49
9/9/2014	9/4/2014	Sell	1000000.00	HONDO MINERALS CORPORATION	0.0110	--	10132.76	17199.25
9/9/2014	9/4/2014	Sell	4000.00	GENSPERA	0.8100	--	3102.00	20301.25
9/9/2014	9/4/2014	Sell	5000.00	GENSPERA	0.8080	--	3876.39	24177.64
9/9/2014	9/4/2014	Sell	7000.00	GENSPERA	0.8210	--	5533.01	29710.65
9/11/2014	9/8/2014	Sell	7000.00	GENSPERA	0.8200	--	5526.23	35236.88
9/24/2014	--	Journal	--	PHYS FROM DTC FEE GLDN	--	620.00	--	34616.88
9/29/2014	--	Journal	--	SCA Inquiry Fee: DSCR 9-12-2014	--	150.00	--	34466.88
9/30/2014	--	Journal	--	Dreyfus Sweep	--	34466.88	--	0.00

Daily Account Activity - Dreyfus General Money Market Fund

Beginning Balance: --

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
12/14/2012	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	241.09	241.09
12/17/2012	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	565.47	806.56
12/18/2012	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	621.73	1428.29
12/19/2012	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	99.89	1528.18
12/21/2012	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	1253.72	2781.90
12/26/2012	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	5645.87	8427.77
12/28/2012	--	Journal	--	Dreyfus Sweep	--	4358.19	--	4069.58
1/3/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	284.96	4354.54
1/8/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	395.00	--	3959.54
1/10/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	791.28	4750.82
1/11/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	892.35	5643.17
1/14/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	648.69	6291.86
1/15/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	300.00	--	5991.86
1/16/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	350.00	--	5641.86
1/17/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	300.00	--	5341.86

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Dreyfus General Money Market Fund (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
1/18/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	449.52	5791.38
1/23/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	140.00	--	5651.38
1/25/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	300.00	--	5351.38
1/31/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	155.00	--	5196.38
1/31/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	0.04	5196.42
2/7/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	450.00	--	4746.42
2/12/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	500.00	--	4246.42
2/13/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	300.00	--	3946.42
2/14/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	4592.47	8538.89
2/15/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	1000.00	--	7538.89
2/20/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	740.00	--	6798.89
2/21/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	300.00	--	6498.89
2/22/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	450.00	--	6048.89
2/25/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	150.00	--	5898.89
2/26/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	4398.51	10297.40
2/27/2013	--	Journal	--	Dreyfus Sweep	--	5075.00	--	5222.40
2/28/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	103.33	--	5119.07
2/28/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	0.05	5119.12
3/1/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	15867.63	20986.75
3/4/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	125.04	21111.79
3/5/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	21350.23	42462.02
3/6/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	46056.37	88518.39
3/7/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	70851.85	159370.24
3/8/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	16364.93	175735.17
3/11/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	112229.95	287965.12
3/12/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	1250.00	--	286715.12

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Dreyfus General Money Market Fund (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
3/15/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	715.00	--	286000.12
3/19/2013	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	450.00	--	285550.12
3/26/2013	--	Journal	--	Dreyfus Sweep	--	--	5322596.82	5608146.94
3/28/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	10.64	5608157.58
4/4/2013	--	Journal	--	Dreyfus Sweep	--	5000150.00	--	608007.58
4/25/2013	--	Journal	--	Dreyfus Sweep	--	--	1390662.97	1998670.55
4/30/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	11.50	1998682.05
4/30/2013	--	Journal	--	Dreyfus Sweep	--	--	401252.43	2399934.48
5/29/2013	--	Journal	--	Dreyfus Sweep	--	--	805404.56	3205339.04
5/31/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	21.10	3205360.14
5/31/2013	--	Journal	--	sweep to dreyfus	--	--	209688.81	3415048.95
6/3/2013	--	Journal	--	Dreyfus Sweep	--	251070.00	--	3163978.95
6/25/2013	--	Journal	--	Dreyfus Sweep	--	26575.00	--	3137403.95
6/25/2013	--	Journal	--	Dreyfus Sweep	--	--	404897.36	3542301.31
6/28/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	26.84	3542328.15
6/28/2013	--	Journal	--	Dreyfus Sweep	--	--	94286.04	3636614.19
7/25/2013	--	Journal	--	Dreyfus Sweep	--	--	1933450.27	5570064.46
7/31/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	34.63	5570099.09
7/31/2013	--	Journal	--	Dreyfus Sweep	--	--	100134.35	5670233.44
8/2/2013	--	Journal	--	Dreyfus Sweep	--	45797.32	--	5624436.12
8/12/2013	--	Journal	--	Dreyfus Sweep	--	306446.62	--	5317989.50
8/12/2013	--	Journal	--	Dreyfus Sweep	--	11158.41	--	5306831.09
8/12/2013	--	Journal	--	REV. SWEEP 184360588	--	--	11158.41	5317989.50
8/13/2013	--	Journal	--	Dreyfus Sweep	--	10967.05	--	5307022.45
8/30/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	46.09	5307068.54
8/30/2013	--	Journal	--	Dreyfus Sweep	--	--	74796.51	5381865.05
9/16/2013	--	Journal	--	Dreyfus Sweep	--	30000.00	--	5351865.05
9/30/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	44.20	5351909.25
9/30/2013	--	Journal	--	Dreyfus Sweep	--	--	290865.68	5642774.93
10/18/2013	--	Journal	--	Dreyfus Sweep	--	4297947.03	--	1344827.90
10/21/2013	--	Journal	--	Dreyfus Sweep	--	535162.27	--	809665.63
10/22/2013	--	Journal	--	Dreyfus Sweep	--	12167.74	--	797497.89
10/23/2013	--	Journal	--	Dreyfus Sweep	--	60075.00	--	737422.89
10/24/2013	--	Journal	--	Dreyfus Sweep	--	4636.99	--	732785.90
10/30/2013	--	Journal	--	Dreyfus Sweep	--	--	165818.47	898604.37

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Dreyfus General Money Market Fund (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
10/31/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	29.71	898634.08
10/31/2013	--	Journal	--	Dreyfus Sweep	--	54905.64	--	843728.44
11/5/2013	--	Journal	--	Dreyfus Sweep	--	5175.00	--	838553.44
11/5/2013	--	Journal	--	REVERSE SWEEP	--	--	5175.00	843728.44
11/7/2013	--	Journal	--	Dreyfus Sweep	--	68138.69	--	775589.75
11/7/2013	--	Journal	--	Dreyfus Sweep	--	1000.00	--	774589.75
11/12/2013	--	Journal	--	Dreyfus Sweep	--	32683.50	--	741906.25
11/18/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	42867.43	784773.68
11/18/2013	--	Journal	--	Dreyfus Sweep	--	125075.00	--	659698.68
11/19/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	1277.58	660976.26
11/20/2013	--	Money Market Sweep	--	Sweep to Dreyfus General Money Mark	--	--	363.17	661339.43
11/29/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	6.04	661345.47
11/29/2013	--	Journal	--	Dreyfus Sweep	--	30000.00	--	631345.47
11/29/2013	--	Journal	--	Dreyfus Sweep	--	--	65725.51	697070.98
12/3/2013	--	Journal	--	Dreyfus Sweep	--	96610.89	--	600460.09
12/4/2013	--	Journal	--	Dreyfus Sweep	--	38790.96	--	561669.13
12/31/2013	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	4.90	561674.03
12/31/2013	--	Journal	--	Dreyfus Sweep	--	13511.12	--	548162.91
12/31/2013	--	Journal	--	Dreyfus Sweep	--	--	160256.47	708419.38
1/30/2014	--	Journal	--	Dreyfus Sweep	--	--	1446312.84	2154732.22
1/31/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	6.63	2154738.85
1/31/2014	--	Journal	--	Dreyfus Sweep	--	--	39030.11	2193768.96
2/11/2014	--	Journal	--	Dreyfus Sweep	--	143265.58	--	2050503.38
2/25/2014	--	Journal	--	Dreyfus Sweep	--	--	1000153.59	3050656.97
2/28/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	17.22	3050674.19
3/13/2014	--	Journal	--	Dreyfus Sweep	--	1500000.00	--	1550674.19
3/13/2014	--	Journal	--	Dreyfus Sweep	--	1272123.33	--	278550.86
3/13/2014	--	Journal	--	Dreyfus Sweep	--	--	7000000.00	7278550.86
3/14/2014	--	Journal	--	Dreyfus Sweep	--	3975356.51	--	3303194.35
3/17/2014	--	Journal	--	Dreyfus Sweep	--	2000000.00	--	1303194.35
3/20/2014	--	Journal	--	Dreyfus Sweep	--	1200000.00	--	103194.35
3/20/2014	--	Journal	--	Dreyfus Sweep	--	34566.32	--	68628.03
3/27/2014	--	Journal	--	Dreyfus Sweep	--	139274.19	--	-70646.16
3/27/2014	--	Journal	--	Dreyfus Sweep	--	--	70646.16	0.00
3/31/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	16.27	16.27

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Dreyfus General Money Market Fund (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
3/31/2014	--	Journal	--	Dreyfus Sweep	--	--	1206329.73	1206346.00
4/2/2014	--	Journal	--	Dreyfus Sweep	--	160075.00	--	1046271.00
4/29/2014	--	Journal	--	Dreyfus Sweep	--	--	859136.51	1905407.51
4/30/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	9.38	1905416.89
4/30/2014	--	Journal	--	Dreyfus Sweep	--	143536.89	--	1761880.00
5/7/2014	--	Journal	--	Dreyfus Sweep	--	379622.56	--	1382257.44
5/8/2014	--	Journal	--	Dreyfus Sweep	--	175075.00	--	1207182.44
5/16/2014	--	Journal	--	Dreyfus Sweep	--	19603.09	--	1187579.35
5/20/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	150.00	--	1187429.35
5/23/2014	--	Journal	--	Dreyfus Sweep	--	40075.00	--	1147354.35
5/30/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	11.03	1147365.38
5/30/2014	--	Journal	--	Dreyfus Sweep	--	--	7202.56	1154567.94
6/2/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	145.00	--	1154422.94
6/5/2014	--	Journal	--	Dreyfus Sweep	--	39075.00	--	1115347.94
6/12/2014	--	Journal	--	Dreyfus Sweep	--	84499.56	--	1030848.38
6/13/2014	--	Journal	--	Dreyfus Sweep	--	250421.62	--	780426.76
6/25/2014	--	Journal	--	Dreyfus Sweep	--	357988.15	--	422438.61
6/30/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	6.97	422445.58
6/30/2014	--	Journal	--	Dreyfus Sweep	--	--	15987.83	438433.41
7/18/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	95.00	--	438338.41
7/24/2014	--	Journal	--	Dreyfus Sweep	--	16249.60	--	422088.81
7/29/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	900.00	--	421188.81
7/31/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	3.72	421192.53
7/31/2014	--	Journal	--	Dreyfus Sweep	--	--	5676.41	426868.94
8/7/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	4960.00	--	421908.94
8/11/2014	--	Journal	--	Dreyfus Sweep	--	26796.22	--	395112.72
8/11/2014	--	Journal	--	Dreyfus Sweep	--	420.00	--	394692.72
8/13/2014	--	Journal	--	Dreyfus Sweep	--	2643.51	--	392049.21
8/20/2014	--	Journal	--	Dreyfus Sweep	--	1204.89	--	390844.32
8/21/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	200.00	--	390644.32
8/27/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	195.00	--	390449.32
8/28/2014	--	Money Market Sweep	--	Sweep from Dreyfus General Money Ma	--	150.00	--	390299.32

Account Statement - Period 10/1/2012 to 9/30/2014

Account Number: [REDACTED]

Account Name: CALEDONIAN BANK LIMITED

Account Executive: SCA House 3502

Daily Account Activity - Dreyfus General Money Market Fund (continued)

Settle Date	Activity Date	Activity	Quantity	Description	Price	Subtracted	Added	Balance
8/29/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	3.51	390302.83
9/5/2014	--	Journal	--	Dreyfus Sweep	--	292626.05	--	97676.78
9/30/2014	--	Money Market Divid	--	Accumulated Earnings Posted	--	--	1.23	97678.01
9/30/2014	--	Journal	--	Dreyfus Sweep	--	--	34466.88	132144.89

Portfolio Positions

Security Description	Quantity	Market Price	Market Value	Unrealized Gain/Loss	% of Portfolio	Est Div Yield	Est Annual Income
	Units Purchased	Cost Per Share	Original Cost	*	Date Acquired		
BOURQUE INDUSTRIES INC	9004305.00	0.0020	18008.61	--	8.36	--	--
Symbol: BORK	9004305.00	--	--	--	8/22/2012		
GOLD DYNAMICS CORP	3750000.00	0.0030	11250.00	3750.00	5.22	--	--
Symbol: GLDN	3750000.00	0.0020	7500.00	3750.00	11/12/2010		
HONDO MINERALS CORPORATION	6752612.00	0.0080	54020.90	-1135413.33	25.08	--	--
Symbol: HMNC	6752612.00	0.1760	1189434.23	-1135413.33	4/19/2012		



Important Account Information

Under current securities rules and regulations, your broker-dealer is required to gather, maintain, and periodically verify information collected from you. You can expect an account confirmation letter from us when the following occurs: within 30 days of opening a new account, when updates are made to your account investment objectives, a change of customer name or address, three years from your last account verification with us and a change of other customer information. If no response is received by you, we will assume that our records are current and accurate. If any information is incomplete, incorrect, or needs modification, please promptly provide the information to your broker or make changes directly on this Form and return it to:

Alpine Securities
39 Exchange Place
Salt Lake City, UT 84111

CALEDONIAN BANK LIMITED
P.O. BOX 1043
GRAND CAYMAN KY1-1102
CAYMAN ISLANDS

New Account Confirmation

Date: 10/22/2014

General Account Information

Primary Name and Address:

CALEDONIAN BANK LIMITED
P.O. BOX 1043
GRAND CAYMAN KY1-1102
CAYMAN ISLANDS

General Account Information

Legal Name and Address (if applicable):

Account Number: [REDACTED]
Birthday: UNKNOWN
Employee of NASD/NYSE Member Firm:
Occupation: NOT PROVIDED

Telephone Number: (345) 9490050
Employment Status:
Email Address: CSL@CALEDONIAN.COM

Financial Information

Annual Income: Over \$200,000
Net Worth (excluding value of primary residence): Over \$1,000,000
Liquid Net Worth: Over \$1,000,000
Account Investment Objective: Speculation

If no investment objective is indicated, please review the definitions below and insert the objective that best describes your goal for this account.
Please return this completed page to the address referenced above.

Explanation of Terms Regarding Investment Objectives:

Speculative:	The most aggressive investment objective category. You are seeking maximum gain and accepts maximum risk.
Growth:	Your primary objective is principal appreciation with a secondary objective of generating income from interest and/or dividends. You evaluate your account in terms of total return, which includes both principal appreciation and income ("Total Return").
Income:	Your primary objective is to generate income from interest and/or dividends with a secondary objective of principal appreciation
Tax Advantage:	Your primary objectives are low risk to seek modest level of long term return.
Safety of Principal:	Least amount of risk and lowest appreciation of the investment.
Other:	Please use this space to explain.

How to Update Your Information: Please mark corrections and/or provide missing information and return this Form to the address referenced above. You should also notify us of any future changes to your account record.